



## INFORMATION DISCLOSURE STATEMENT

Applicant : Lashinski et al.  
App. No. : 10/634,653  
Filed : August 5, 2003  
For : ADJUSTABLE TRANSLUMINAL  
ANNULOPLASTY SYSTEM  
Examiner : Unknown  
Group Art Unit : 3731

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 117 references that are of record in U.S. patent application No. 09/968,272, filed October 1, 2001, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/17/04

By: Gerard von Hoffmann

Gerard von Hoffmann  
Registration No. 33,043  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MITRAL.1CP3C2APPLICATION NO.  
10/634,653INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Lashinski et al.FILING DATE  
August 5, 2003GROUP  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,128,100	12/1978	Wendorff			
	2	4,917,698	04/1990	Carpentier et al.			
	3	5,006,106	04/1991	Angelchik			
	4	5,041,130	08/1991	Cosgrove et al.			
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	6	5,064,431	11/1991	Gilbertson et al.			
	7	5,099,838	03/1992	Bardy			
	8	5,104,407	04/1992	Lam et al.			
	9	5,163,955	11/1992	Love et al.			
	10	5,165,403	11/1992	Mehra			
	11	5,201,880	04/1993	Wright et al.			
	12	5,290,300	03/1994	Cosgrove et al.			
	13	5,304,131	04/1994	Paskar			
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	15	5,390,661	02/1995	Griffith et al.			
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	17	5,476,471	12/1995	Shifrin et al.			
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	21	5,702,343	12/1997	Alferness			
	22	5,716,397	02/1998	Myers			
	23	5,824,066	10/1998	Gross			
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	27	5,961,440	10/1999	Schweich, Jr. et al.			

EXAMINER

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\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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	36	6,077,214	06/2000	Mortier et al.			
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	55	6,210,432 B1	04/2001	Solem et al.			
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	57	6,221,103 B1	04/2001	Melvin			
	58	6,230,714 B1	05/2001	Alferness et al.			
	59	6,241,654 B1	06/2001	Alferness			
	60	6,250,308 B1	06/2001	Cox			
	61	6,260,552 B1	07/2001	Mortier et al.			
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	65	6,402,781 B1	06/2002	Langberg et al.			
	66	6,569,198	05/2003	Wilson et al.			
	67	6,602,288	08/2003	Cosgrove et al.			
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	75	60/213,782	06/2000	Cohn et al.			
	76	60/242,466	10/2000	Streeter			
	77	60/266,766	02/2001	Cohn et al.			
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							YES	NO
	87	WO 91/19465	12/1991	PCT				
	88	WO 96/34211	10/1996	PCT				
	89	WO 98/29041	07/1998	PCT				
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	103	Boyd et al., <i>Tricuspid annuloplasty</i> , September 1974, <u>The Journal of Thoracic and Cardiovascular Surgery</u> , pg. 344, 351
	104	Kurlansky et al., <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , October 1987, <u>The Annals of Thoracic Surgery</u> , pg. 404-406
	105	Alonso-Lej, <i>Adjustable Annuloplasty for Tricuspid Insufficiency</i> , September 1988, <u>The Annals of Thoracic Surgery</u> , Letter to the Editor, pg. 368-369
	106	Chachques et al., <i>Latissimus Dorsi Dynamic Cardiomyoplasty</i> , 1989, <u>The Society of Thoracic Surgeons</u> , pg. 600-604
	107	McCarthy et al., <i>Clinical experience with the Novacor ventricular assist system</i> , May 1990, <u>J Thorac Cardiovasc Surg</u> , pg. 578-587
	108	Farrar et al., <i>A New Skeletal Muscle Linear-pull Energy Converter as a Power Source for Prosthetic Circulatory Support Devices</i> , September 1992, <u>The Journal of Heart and Lung Transplantation</u> , pg. S341-S349
	109	Bolling et al., <i>Early outcome of mitral valve reconstruction in patients with end-stage cardiomyopathy</i> , April 1995, <u>The Journal of Thoracic and Cardiovascular Surgery</u> , pg. 676-683
	110	Bach et al., <i>Early improvement in congestive heart failure after correction of secondary mitral regurgitation in end-stage cardiomyopathy</i> , June 1995, <u>American Heart Journal</u> , pg. 1165-1170
	111	Bearnson et al., <i>Development of a Prototype Magnetically Suspended Rotar Ventricular Assist Device</i> , <u>ASAIO Journal</u> 1996, pg. 275-280
	112	<i>Thoratec Ventricular Assist Device System</i> , 1996 Brochure
	113	McCarthy et al., <i>Early Results with Partial Left VEntriculectomy</i> , May 1997, Presented at the 77 <sup>th</sup> Annual Meeting of the American Association of thoracic Surgeons
	114	Buchanan et al., <i>Circumferential Suture of the Mitral Annulus for Correction of Mitral Regurgitation in Dogs</i> , 1998, <u>Veterinary Surgery Vol. 27</u> , pg. 182-193
	115	Yamani et al., <i>Surgical Treatment of Chronic Heart Failure</i> , 2000, <u>Congestive Heart Failure Second Edition</u> , pg 767-784
	116	Bristow et al., <i>Heart Failure Management Using Implantable Devices for Ventricular Resynchronization: Comparison of Medical Therapy, Pacing, and Defibrillation in Chronic Heart Failure (COMPANION) Trial</i> , September 2000, <u>Journal of Cardiac Failure</u> , Vol. 6, No. 3, pg 276-285
	117	Smolens et al., <i>Mitral Valve Repair in Heart Failure</i> , 2000, <u>European Journal of Heart Failure</u> 2, pg. 365-371

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